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1/16/04

PTO/SB/08A

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
Sheet 2 of 2

Application Number.: 09/838,057
 Filing Date: April 19, 2001
 First Named Inventor: Armstrong et al
 Art Unit: 2182
 Examiner Name: Unassigned
 Attorney Docket Number.: ROC920000252US1

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and or country where published.	T ²
VN	C	Menasce, D. et al. "Capacity Planning and Performance Modeling", ISBN 0-13-035494-5, © 1994.	
VN	D	Leutenegger et al. "A Modeling Study of the TPC-C Benchmark", Proceedings of the 1993 ACM SIGMOD Int'l Conference on Management of Data, 1993, pp. 22-31.	
VN	E	Levine, C. "Order-of-Magnitude Advantage on TPC-C Through Massive Parallelism", Proceedings of the 1995 ACM SIGMOD Int'l Conference on Management of Data, 1995, pp. 464-465.	
VN	F	IBM Corporation, "AS/400 Logical Partitions Hardware Planning Guide", © 1999	
VN	G	Schimunek, G. et al. "Slicing the AS/400 With Logical Partitioning: A How to Guide", August 1999.	
VN	H	IBM Corporation, "LPAR Configuration and Management", First Edition, © April 2002.	
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		JAN 21 2004	
		Technology Center 2100	

Examiner Signature	VAN H. NGUYEN	Date Considered	7/20/04
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¹ Applicant's unique citation designation number (optional).

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
VN	A	US - 5535321A	07/09/1996	Timothy J. Massaro et al	
VN	B	US - 6625638B1	09/23/2003	Jeffrey Paul Kubala et al	

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FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document Country ³ - Number ⁴ - Kind Code ⁵ Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T ⁶

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⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet 1 of 2

OCT 20 2003

Application Number.: 09/838,057
 Filing Date: April 19, 2001
 First Named Inventor: Armstrong et al.
 Art Unit: 2823
 Examiner Name: Unassigned
 Attorney Docket Number.: ROC920000252US1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
VN		US - 5,692,193	11-25-1997	Jagannathan et al.	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

DISCLOSURE
APPLICANT
OCT 20 2003
PATENT OFFICE
PRIOR ART

Application Number.: 09/838,057
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4/19/01

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S
INFORMATION
DISCLOSURE STATEMENTATTY. DOCKET NO.
ROC9-2000-0252-USI

SERIAL NO. (Unassigned)

APPLICANT: William J. Armstrong, et al.

(Use several sheets if necessary)

Page 1 of 1

FILING DATE: (Herewith)

GROUP: (Unassigned)

H1002 U.S. PTO
09/838057
04/19/01

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
VN	AA	5	6	5	9	7	8	6	8/19/1997	George, et al.	395	653	
VN	AB	4	8	4	3	5	4	1	6/27/1989	Bean, et al.	364	200	
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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
													YES	NO
	AL													
	AM													
	AN													
	AO													
	AP													

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

VN	AR	U.S. Patent Application Serial No. 09/672,043, entitled "Technique for Configuring Processors in System With Logical Partitions", filed September 29, 2000.
VN	AS	IBM Corporation, S/390 Processor Resource/Systems Manager Planning Guide (IBM Pub. No. GA22-7236-04, 5 th Edition, March, 1999)
	AT	

EXAMINER

VAN H. NGUYEN

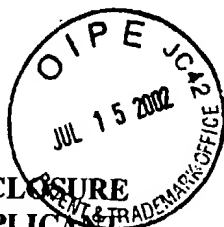
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Sheet 1 of 1Application Number.: 09/838,057Filing Date: April 19, 2001First Named Inventor: Armstrong et al.Art Unit: 2182Examiner Name: UnassignedAttorney Docket Number.: ROC920000252US1COPY OF PAPERS
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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
VN		US - 5,325,525	06-28-1994	Shan et al.	
VN		US - 5,504,670	04-02-1996	Barth et al.	
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Examiner Signature

VAN H. NGUYEN

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8/18/03

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VN		US - 5,222,215	06-22-1993	Chou et al.	
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